

HUMAN SUBJECTS EXEMPTION

Pro Number: MSII-AI-SA-007-2N Submission ID: 10-458

Investigator(s): Name Title Email Phone
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Faculty Advisor: Name Title Email Phone

Titles: Outreach to North Coast Tribes and Tribal Communities; Outreach to North Coast Tribes and Tribal Communities

Funding Source:

Admin Dept/ORU: MSII Submission Type: N-New

SIGNATURES

INVESTIGATOR: READ THIS CERTIFICATION CAREFULLY. YOU ARE MAKING AN IMPORTANT COMMITMENT.

I understand that I am responsible for the safe conduct of this project. I will conduct this study in the manner described in this form. I will notify the Human Subjects Committee immediately to request approval to change any procedures or to report any problems that may put subjects at risk.

- mental: distress regarding subject matter or procedures.
physical: injury, adverse reaction to biologicals, drugs, radiolotope labeled drugs, medical devices or equipment.
confidentiality: access to data by unauthorized individuals, subpoena, etc.

I have completed or will complete the HS Training Module before this research commences as will all individuals who interact with human subjects or have access to their identifiable data.

Satie Airame SATIE AIRAME 07/27/10
Investigator Signature Investigator Name Date

FACULTY ADVISOR: READ THIS CERTIFICATION CAREFULLY. YOU ARE MAKING AN IMPORTANT COMMITMENT.

I understand that I am responsible for the safe conduct of this project. I have read this protocol & I believe that the student researcher is competent to conduct the activity described in this form & that the design meets the ethical & scientific standards of my field & the University.

I have completed or will complete the HS Training Module before this research commences as will all individuals who interact with human subjects or have access to their identifiable data.

Faculty Advisor Signature Faculty Advisor Name Date

DEPARTMENT HEAD: READ THIS CERTIFICATION CAREFULLY. YOU ARE MAKING AN IMPORTANT COMMITMENT. This project has scientific merit & the liability assumed by its conduct is acceptable to UCSB.

MARK BRZEZINSKI 7-27-10
Department Head Signature Department Head Name Date

APPROVAL for HSC use only

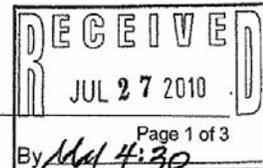
The signature of the HSC Chair below indicates that the activity described in the attached pages has been reviewed & approved.

Donald Symons Signature 8/9/10
Donald Symons Signature Date

STATUS

Approval Type: X-Exemption Date Research Can Begin:
All Training Complete Date: Expiration Date:
Approval Date:

Tuesday, July 27, 2010 3:07 pm



Purpose of Study:

To gather input from north coast tribes and tribal communities on draft marine protected area (MPA) proposals developed by the North Coast Regional Stakeholder Group. Input could include comments on where proposed MPAs overlap areas of traditional tribal gathering activities and specific proposed uses for MPAs (described by species and gear type) that would accommodate the continuation of traditional tribal gathering activities. All input will be aggregated to protect the identity of any individuals or tribal councils that contribute input on the draft MPA proposals. Aggregated input will be shared with the North Coast Regional Stakeholder Group, Blue Ribbon Task Force and Marine Life Protection Act Master Plan Science Advisory Team to help these groups plan and evaluate proposed MPAs in the north coast study region.

Research Sites:

Northern California, from Point Arena to the California Oregon border, including 20 coastal and inland tribes and tribal communities.

Describe your subject population. How will you locate & recruit/contact them? Where will you get your contact information?

Will information that is not publicly available be used to contact your subjects (Ss)? -Yes

(If yes, explain how you will gain access to this private information)

The Marine Life Protection Act Initiative outreach coordinator, Kelly Sayce, developed a list of key contacts to tribes and tribal communities in the north coast study region. Contacts included names of north coast tribal council members and staff, phone numbers, email addresses and tribal office addresses. Most of the contact information is publicly available with the possible exception of some cell phone numbers, which may not be listed. Contact information will be used by researchers only to invite tribes and tribal communities to participate in meetings and contact information will not be provided to any other groups.

Does this Project Involve Research? -Yes Are human subjects involved? -Yes

Approximate number of subjects (Ss):

approximately 20 tribes and tribal communities

Age Range:

Adult, over 18 yrs, mostly tribal elders or council members

CONFLICT OF INTEREST- Disclosable financial interests are:

- 1) No - Ownership interest, stock, stock options, or other financial interest related to the research, unless it meets all four tests:
 - Less than \$10,000 when aggregated for the immediate family and
 - Publicly traded on a stock exchange and
 - Value will not be affected by the outcome of the research and
 - Less than 5% interest in any one single entity.
- 2) No - Compensation related to the research, including salary, consultant payments, honoraria, royalty payments, dividends, loans, or any other payments or consideration with value, unless it meets both of the following tests:
 - Less than \$10,000 in the past year when aggregated for the immediate family and the
 - Amount will not be affected by the outcome of the research.
- 3) No - Proprietary interest related to the research including, but not limited to, a patent, trademark, copyright or licensing agreement.
- 4) No - Proprietary interest related to the research including, but not limited to, a patent, trademark, copyright or licensing agreement.

List the Name(s) of Study Team Members who have Disclosable Financial Interests (Including Lead Researcher, Co-Researchers, Research Personnel and, if applicable, Faculty Sponsor)

<u>vchrFirstName</u>	<u>vchrLastName</u>	<u>vchrEmail</u>	<u>vchrPhone</u>
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Will Ss include your own students/staff? -No

Are you only collecting pre & post test data that measures the effectiveness of an existing class?

Will the internet be used to collect data? -No

ELIGIBILITY FOR EXEMPTION	
<u>Question</u>	<u>Answer</u>
Are there any interventions or manipulations of the subjects or their environment? This includes: -altering mood, environment or using multiple versions of testing/survey material -influencing opinion or response -testing variables -incomplete or inaccurate disclosure of the nature/purpose/procedures/risk of the research	No
A. Survey/Interview research	Yes
1. Will minors or other populations be used whose ability to give voluntary consent may be in question?	No
2. Will the questions cover subject matter that could reasonably be considered emotionally disturbing to your subject population? (EX: <i>Physical or sexual abuse of the subject</i>)	No
3. Will data be recorded by identifiable personal information or image or by codes linked to identifiable personal information? (you must answer yes if you are taking photographs, videotaping, recording names, codes linked to names or enough personal data that the subject could be identified)	Yes
4. Would the disclosure of the subject's data reasonably place the subjects at risk of criminal or civil liability or be damaging to the subjects financial standing, employability, or reputation (including data concerning drug/alcohol use, illegal conduct, sexual behavior or anything potentially embarrassing?)	No
5. Are subjects ONLY elected or appointed public officials or candidates for public office?	-
B. Educational research	No
1. Educational Practices Will normal educational practices be studied in an established or commonly accepted educational setting? (Research on instructional strategies, effectiveness of or comparison among instructional techniques, curricula, classroom management methods, etc.)	-
2. Educational Tests Will educational tests be used:	-
-Will data be recorded by identifiable personal information or image or by codes linked to identifiable personal information?	-
-Will minors or other populations be used whose ability to give voluntary consent may be in question?	No
-Will the disclosure of the subject's data reasonably places the subjects' at risk of criminal or civil liability or be damaging to the subjects' financial standing, employability, or reputation (including data concerning vocational testing, drug/alcohol use, illegal conduct, sexual behavior, or anything embarrassing to the subject?)	-
C. Observation of PUBLIC behavior (Public is defined as a publicly accessible area where the subject could not reasonably expect privacy. EXAMPLE: A restaurant is a public space, the restroom of the restaurant is not.)	No
1. Will your subject population include ONLY adults who can give legal voluntary consent for themselves?	-
2. Confirm that minors will be used.	-
3. Will anyone involved with the research be a participant in the activity being observed?	-
D. The Collection or study of Existing Material or Information. Data; Documents/records; Pathological/diagnostic specimens.	No
1. Does all this material currently exist?	-
2. Is this material publicly available?	-
3. Will data be recorded by identifiable personal information or image or by codes linked to identifiable personal information?	-